

PRICING MATRIX

The Retirement Advantage (TRA), is an industry-leading national retirement services company that specializes in administration, consultation and compliance of retirement plans for privately held businesses. Currently we provide service to more than 11,000 plan sponsors nationwide and have more than \$11 billion in retirement assets under administration.

	Preferred Provider Pricing*	Standard / Net Pricing**
Safe Harbor 401(k), Safe Harbor 403(b) and 457(b) Plans:		
Plan Set Up:	\$ 500	\$ 1,250
Conversion of an Existing Plan:	\$ 500	—
Annual Plan Administration Services - Base:	\$ 600	\$ 1,250
Traditional 401(k) and 403(b) Plans:		
Plan Set Up:	\$ 700	\$ 1,250
Conversion of an Existing Plan:	\$ 500	—
Annual Plan Administration Services - Base:	\$ 1,000	\$ 1,250
Per Eligible Employee:	\$ 10	\$ 25***
Minimum Annual Plan Administration Fee:	\$ 1,250	—
<small>* Indirect compensation disclosed in Schedule C is utilized to finance the Preferred Provider Pricing schedule. ** All indirect compensation disclosed in Schedule C is maintained as an Administrative Fee Credit to pay the Plan's administrative fees and expenses payable to TRA as disclosed in Schedule D. The administrative fee credits are not an asset of the plan and are considered part of TRA's compensation. *** Fee is charged by participant with an account balance. Ability to tier fee based on larger participant counts.</small>		
3(16) Fiduciary Services		
Plan Set Up:		\$ 500
Annual Administration Fee:		\$ 1,000
Per Eligible Fee:		\$ 4
Plan Document Maintenance Program (PDMP)		
Defined Contribution Plans - Annual Fee:		\$ 200
Cash Balance / Defined Benefit Plans - Annual Fee:		\$ 300
Solo Cash Balance Plans:		
Plan Set Up:		\$ 1,800
Annual Plan Administration Services - Base:		\$ 1,800
Standard Cash Balance / Defined Benefit Plans:		
Plan Set Up:		\$ 2,500
Annual Plan Administration Services - Base:		\$ 3,200
Per Participant:		\$ 60
Solo 401(k) Plans:		
Plan Set Up:		\$ 175
Conversion of an Existing Plan:		\$ 175
Annual Plan Administration Services - Base:		\$ 450
Spouse/Additional Owner Fee:		\$ 100

Please refer to Administrative Fee Schedule B for a full listing of fees. Information on TRA's Preferred Provider Partners and/or fees is available through your Regional Sales Consultant (RSC).

3(16) SERVICES

Managing Your Fiduciary Responsibilities

A delegated 3(16) plan administrator is an outsourced HR function that saves you time by relieving many of the day-to-day administrative burdens associated with sponsoring a plan. Including:



Give your client more time to focus on other tasks and let TRA handle the rest.

PLAN DOCUMENT MAINTENANCE PROGRAM (PDMP)

The Plan Document Maintenance Program is an optional service designed to keep your plan document up to date with all IRS requirements, easing your administrative duties associated with the Plan and effectively reducing and leveling your document related costs. The services covered under the Plan Document Maintenance Program include:

- No cost required regulatory restatement approximately every six years
- No cost regulatory amendments as released by the IRS/DOL
- 50% discount on discretionary amendments and restatements as requested by the plan sponsor

We make your job *EASY*...
We make you look *GOOD*...
We help you *WIN* more business!™

The
Advantage
Is Yours